



## ENGINEERING ACCEPTANCE - TECHNICAL NOTE

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**Subject:** Scope of certificates

**Advice to:** VABs and CCBs

**Reference:** Standard: GM/RT2000 Issue: 3 Clause: App. B & D

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**Applies when:** Issuing certificates of Conformance or Engineering Acceptance.

**Note's Purpose:** To clarify the definition of a certificate's scope.

**Background:** Certificates of Conformance and Engineering Acceptance are required to define “the scope and Mandatory Requirements” against which conformance has been demonstrated.

Scope is a term relating to a number of aspects. There is a scope of certificate, a scope of work, and a scope of scrutiny. The terms are specific in context.

**Requirements:** The principal issues relating to the scope of a certificate are:

1. The “scope of a certificate” (or its coverage) defines the extent of the item covered by the certificate. It defines whether the certificate covers only a specific feature or modification, or covers a complete vehicle or a train unit.
2. The “scope of work” related to a certificate defines the work specifically scrutinised for conformance under the certificate. This “scope of work” should be described on the rear of the certificate.
3. The “scope of scrutiny” generally describes the proportion of available evidence selected for scrutiny within “the scope of work”.
4. The liabilities of the certification body are generally limited by the “scope of work”, not the “scope of the certificate”.
5. To avoid potential confusion, the VAB or CCB should clearly state the “scope of certificate” on the certificate in addition to the “scope of work” required on the rear of the certificate. The certificate should make clear whether it applies to a specific feature or modification, the complete vehicle, or a train unit.

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