



## ENGINEERING ACCEPTANCE - TECHNICAL NOTE

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**Subject:** Issuing Fleet Certificates of Engineering Acceptance

**Advice to:** TOCs, VABs and RSL

**Reference:** Standard: GM/RT2000 Issue: 3 Clause: 8.5

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**Applies when:** Issuing Engineering Acceptance Certificates for a fleet of vehicles

**Note's Purpose:** To spread best practice relating to the processing of such certificates and to prevent overwriting of limitations or expiry date.

**Background:** Vehicles in a single fleet may vary and/or have a variety of Engineering Acceptance certificates.

Modifications and their certification may be carried out in parallel and yet independently of each other. Under such circumstances it is possible that one certification activity may result in the unwitting deletion of special limitations and/or expiry date in the Rolling Stock Library (RSL) database.

The Fleet Certificate was introduced to simplify the Engineering Acceptance process in the case of a fleet-wide modification, but there are difficulties of application in the above circumstances.

**Requirements:** To ensure that valid limitations & expiry dates are carried forward and not overwritten by other certificates, the following applies.

1. E. A. Certificates should have a matrix (or table) attached containing:
  - All vehicle numbers to which the certificate is applicable.
  - All current E.A. Certificates, identified by vehicle number.
  - All current limitations and expiry dates listed by vehicle number.
  - All expiry dates against the limitations.
2. Fleet modification E. A. Certificates should include the following statement:  
“This is a fleet modification certificate. Upon issue of an RSLEA4 for a listed vehicle, this certificate will supersede the respective Engineering Acceptance certificates listed above”.
3. RSL will activate the E.A. Certificate against the first RSLEA4.
4. RSLEA4 form for a vehicle should only be issued on completion of the fleet modification on that vehicle if the expiry date and E.A. Certificate are the same as those listed in the matrix (or table) referred to in 1 above.
5. Where the expiry date or E.A. Certificate number have changed from that indicated in the matrix, the Train Operator should be advised that the RSLEA4 form and associated E.A. Certificate have ceased to be applicable and a new certificate is required covering the fleet modification and the parallel modification.

**Contact:** In the event of any queries on this Technical Note, please contact:  
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