

# Requirements for Operational Security

Signatures removed from electronic version

## Synopsis

This standard mandates requirements for the production and co-ordination of procedures for the management of operational security.

## Submitted by

---

Paul Woolford  
Standards Project Manager

## Authorised by

---

Anne Blakeney  
Acting Department Head  
Railway Group Standards Management

This document is the property of the Rail Safety and Standards Board Limited. It shall not be reproduced in whole or in part without the written permission of the Department Head of Railway Group Standards Management, Rail Safety and Standards Board.

**Published by:**  
**Rail Safety and Standards Board**  
**Evergreen House**  
**160 Euston Road**  
**London NW1 2DX**

© Copyright 2003 Rail Safety and Standards Board Limited

Uncontrolled When Printed

This page has been left blank intentionally

# Requirements for Operational Security

## Contents

Section	Description	Page
<b>Part A</b>		
A1	Issue record	2
A2	Implementation of this document	2
A3	Scope of Railway Group Standards	5
A4	Responsibilities	5
A5	Health and safety responsibilities	5
A6	Technical content	5
A7	Supply	5
<b>Part B</b>		
B1	Purpose	7
B2	Application of this document	7
<b>Part C</b>	<b>General requirements</b>	
C1	Introduction	9
C2	Statutory framework	9
C3	The role of the infrastructure controller	10
C4	Requirements to set down procedures	10
<b>References</b>		<b>12</b>

## Requirements for Operational Security

### Part A

#### A1 Issue record

Issue	Date	Comments
One	December 2003	Original document, supersedes GE/GN8512 issue 1, GO/RT3601 issue 3 GO/RT3602 issue 2, GO/RT3603 issue 2 GO/RT3604 issue 2, GO/RT3606 issue 3 GO/RT3607 issue 3, GO/RT3608 issue 3 GO/RT3610 issue 3, GO/RT3612 issue 2 GO/RT3613 issue 2, GO/RT3614 issue 1 GO/OI0641 issue 1, GO/OI0642 issue 1 GO/OI0643 issue 1, GO/OI0644 issue 1 GO/OI0645 issue 1, GO/OI0646 issue 1 GO/OI0647 issue 2, GO/OI0648 issue 1 GO/OI0649 issue 1, GO/OI0650 issue 1 GO/OI0651 issue 1

This document will be updated when necessary by distribution of a complete replacement.

#### A2 Implementation of this document

The publication date of this document is 6 December 2003.

This document comes into force on 7 February 2004.

The dates by which compliance with the requirements of this document is to be achieved are set out in Part B2. Where those dates are later than the date on which this document comes into force, this is to give Railway Group members additional time to plan and commence implementation so as to achieve full compliance by the dates set out in Part B2.

This document supersedes the following Railway Group Standards, either in whole or in part as indicated:

Railway Group Standard	Issue No.	Title	Railway Group Standard sections superseded by this document	Date(s) as of which sections are superseded
GE/GN8512	1	Guidance for <b>Security</b> Arrangements	All	5 June 2004
GO/RT3601	3	Operational <b>Security</b> – Bomb Threats and Explosions, <b>Security</b> of Stations Train and Other Facilities	All	5 June 2004
GO/RT3602	2	Operational <b>Security</b> – <b>Security</b> of Explosives in Transit on the Rail Network	All	5 June 2004

## Requirements for Operational Security

Railway Group Standard	Issue No.	Title	Railway Group Standard sections superseded by this document	Date(s) as of which sections are superseded
GO/RT3603	2	Operational Security – Security Advices	All	5 June 2004
GO/RT3604	2	Operational Security – Security Screening of Personnel	All	5 June 2004
GO/RT3606	3	Operational Security – Instructions for Working MOD-NTL Container Movements	All	5 June 2004
GO/RT3607	3	Operational Security – Accidents and Emergencies Involving MOD-NTL Container Movements	All	5 June 2004
GO/RT3608	3	Operational Security – Security Alerts – Radioactive Material	All	5 June 2004
GO/RT3610	3	Operational Security – Unlawful Detention	All	5 June 2004
GO/RT3612	2	Operational Security – Military Passenger Movements	All	5 June 2004
GO/RT3613	2	Operational Security – Arrangements for VIP Journeys	All	5 June 2004
GO/RT3614	1	Operational Security – Security of Irradiated Fuel Flasks	All	5 June 2004

## Requirements for Operational Security

Railway Group Standard	Issue No.	Title	Railway Group Standard sections superseded by this document	Date(s) as of which sections are superseded
GO/OI0641	1	Royal Train Security – Responsibilities and Requirements for Royal Family Rail Travel and Royal Family Protection	All	5 June 2004
GO/OI0642	1	Royal Train Security – Internal Rail Procedures	All	5 June 2004
GO/OI0643	1	Royal Train Security – Royal Family Use of Normal Timetable Services	All	5 June 2004
GO/OI0644	1	Royal Train Security – Identification of Rail Staff on Royal Trains	All	5 June 2004
GO/OI0645	1	Royal Train Security – Access Arrangements	All	5 June 2004
GO/OI0646	1	Royal Train Security – Pre-Planned Viewing Areas	All	5 June 2004
GO/OI0647	2	Royal Train Security – Identification of Stabling Points	All	5 June 2004
GO/OI0648	1	Royal Train Security – Method of Entry Special Vehicles 2916, 22, and 23	All	5 June 2004
GO/OI0649	1	Royal Train Security – Staff Details	All	5 June 2004
GO/OI0650	1	Royal Train Security – Special Vehicle Details	All	5 June 2004
GO/OI0651	1	Royal Train Security – Contact Details	All	5 June 2004

The Railway Group Standards in the above table are all withdrawn with effect from 5 June 2004, at which point the contents, or the rights and obligations contained therein, transfer to Network Rail for publication as Network Rail company standards.

## Requirements for Operational Security

### A3 Scope of Railway Group Standards

The overall scope of Railway Group Standards is set out in Appendix A of [GA/RT6001](#). The specific scope of this document is set out in Part B2.

### A4 Responsibilities

Railway Group Standards are mandatory on all Railway Group members\* and apply to all relevant activities that fall into the scope of each individual's Railway Safety Case. If any of those activities are performed by a contractor, the contractor's obligation in respect of Railway Group Standards is determined by the terms of the contract between the respective parties. Where a contractor is a duty holder of a Railway Safety Case then Railway Group Standards apply directly to the activities described in the Safety Case.

\* The Railway Group comprises Network Rail Infrastructure Limited, Rail Safety and Standards Board Limited, and the train and station operators who hold railway safety cases for operation on or related to infrastructure controlled by Network Rail Infrastructure Limited.

Network Rail Infrastructure Limited is also known as Network Rail.

Rail Safety and Standards Board Limited is also known as RSSB.

### A5 Health and safety responsibilities

In issuing this document, RSSB makes no warranties, express or implied, that compliance with all or any documents published by RSSB is sufficient on its own to ensure safe systems of work or operation. Each user is reminded of its own responsibilities to ensure health and safety at work and its individual duties under health and safety legislation.

### A6 Technical content

The technical content of this document has been approved by:

Richard Evans, Principal, Operations, RSSB

Enquiries should be directed to RSSB – Tel: 020 7904 7518 or e-mail [enquiries@rssb.co.uk](mailto:enquiries@rssb.co.uk).

### A7 Supply

Controlled and uncontrolled copies of this document may be obtained from the Industry Safety Liaison Dept, Rail Safety and Standards Board, Evergreen House, 160 Euston Road, London NW1 2DX or e-mail [enquiries@rssb.co.uk](mailto:enquiries@rssb.co.uk).

**Railway Group Standard**

**GO/RT3600**

**Issue One**

**Date December 2003**

**Page 6 of 12**

## **Requirements for Operational Security**

This page has been left blank intentionally

# Requirements for Operational Security

## Part B

### B1 Purpose

This document mandates requirements for the production and co-ordination of procedures for the management of operational **security**.

### B2 Application of this document

#### B2.1 To whom the requirements apply

This document contains requirements that are applicable to RSSB and duty holders of the following categories of Railway Safety Case:

- a) infrastructure controller
- b) station operator
- c) train operator.

Under the Railways (Safety Case) Regulations 2000, as amended from time to time, the duty holder at a station (as defined in those Regulations) is responsible for ensuring that the requirements of Railway Group Standards are complied with. At a station, contractual arrangements (including a lease) do not of themselves relieve the duty holder of his obligations under those Regulations.

#### B2.2 Compliance requirements

These requirements shall be complied with no later than 5 June 2004 for the production and use of all procedures developed to control aspects of operational **security**.

After the above compliance date or after the date by which compliance is achieved (if earlier), Railway Group members shall not deviate from the requirements set out in this standard.

With effect from the compliance date, the contents of the Railway Group Standards listed in section A2 will transfer to Network Rail for publication as Network Rail company standards.

Where it is considered not reasonably practicable to comply with the requirements set out in this standard, authorisation not to comply shall be sought in accordance with [GA/RT6001](#), [GA/RT6004](#) or [GA/RT6006](#).

##### B2.2.1 Infrastructure controller

Except where stated otherwise in the text, all the requirements of this standard apply to duty holders of the infrastructure controller category of Railway Safety Case.

Existing processes shall be assessed for compliance with the requirements of this standard. Any action found to be necessary to achieve compliance shall be taken to bring them into compliance by 5 June 2004.

##### B2.2.2 Station operator

Requirements in sections C2 and C4 of this standard apply to duty holders of the station operator category of Railway Safety Case. Other requirements in clauses C2.2 and C4.2 require the co-operation of station operators.

##### B2.2.3 Train operator

Requirements in sections C2 and C4 of this standard apply to duty holders of the train operator category of Railway Safety Case. Other requirements in clauses C2.2 and C4.2 require the co-operation of train operators.

##### B2.2.4 RSSB

Requirements in sections C2 and C4 of this standard apply to RSSB. Other requirements in clauses C2.2 and C4.2 require the co-operation of RSSB.

## Requirements for Operational Security

This page has been left blank intentionally

# Requirements for Operational Security

## Part C General requirements

### C1 Introduction

Operational **security** is concerned primarily with counter terrorism measures. This involves either preventative measures or reactive (**security** incident response) measures.

The scope of the requirements in this standard also extend to:

- a) Royal Family rail travel
- b) the transportation of radioactive materials, explosives or other major hazard dangerous goods, as notified from time to time by the Department for Transport.

The involvement of RSSB in these matters is restricted to confirmation of the appropriateness of the requirements to address safety implications.

The requirements of this Railway Group Standard are in addition to the obligations upon Railway Group members under current legislation. These requirements are subordinate to that legislation set out in section C2.

### C2 Statutory framework

#### C2.1 Acts and Regulations

The Railways Act 1993, and the supporting Railways (Safety Case) Regulations (2000), and Railways (Safety Case) (Amendments) Regulations 2003, require a Railway Group member to have in place an approved Railway Safety Case. The requirements of Railway Group Standards are mandated via this statutory requirement on holders of a safety case.

Railway Group Standards set out high-level safety requirements. These requirements are then translated into detailed working instructions by the company standards of Railway Group members.

Three principal areas of regulation apply to railway **security**, as set out below in clauses C2.1.1, C2.1.2 and C2.1.3.

##### C2.1.1 Channel Tunnel (**Security**) Order 1994

The Secretary of State for Transport issues directions under powers contained in these regulations to those undertaking transport in connection with the Channel Tunnel passenger and freight train operations. They apply both to Railway Group members and other organisations. These instructions detail required preventative **security** measures and the need to prepare incident response arrangements.

##### C2.1.2 Railways Act 1993, Sections 119 – 121

Under powers contained in this Act, the Secretary of State for Transport issues a National Railway **Security** Programme, together with instructions requiring Railway Group members and others to put in place a variety of preventative **security** measures, and also incident response arrangements.

These instructions require Railway Group members to consult with each other to establish documented incident response arrangements, as well as **security** arrangements for sensitive movements. These shall be produced by Network Rail but applied by all Railway Group members. It is also permissible for them to be applied by other organisations whose roles and responsibilities they reflect when so instructed by the Secretary of State.

##### C2.1.3 The Nuclear Industries **Security** Regulations 2003

The Department of Trade and Industry takes the lead in issuing these regulations and associated instructions that require the carriers of nuclear material to put in place a variety of **security** prevention measures and incident

## Requirements for Operational Security

response arrangements. It is permissible for instructions to be issued that involve Railway Group members, as well as other appropriate organisations.

### C2.2 Application

Within the scope of the various **security**-related instructions issued by government departments it is necessary for Network Rail to produce procedures in consultation with other Railway Group members, and other organisations.

Other Railway Group members have to meet the requirements in these Network Rail company standards. These standards contain **security** measures and reflect the need for co-ordinated arrangements, particularly the response to **security** incidents.

The instructions issued by the responsible government organisations can also extend to relevant third parties that are not Railway Group members.

All Railway Group members shall co-operate in the development and implementation of the **security** arrangements produced by Network Rail.

### C3 The role of the infrastructure controller

Within the Railway Group, Network Rail shall adopt a co-ordinating and initiating role in respect of those **security** matters to which this standard applies. In particular, Network Rail shall take responsibility for completing the process of putting in place procedures to satisfy the requirements of both the Department for Transport and the Department of Trade and Industry instructions set out in section C2.

### C4 Requirements to set down procedures

#### C4.1 Requirement for **security** plans

Network Rail shall produce documented **security** requirements so as to ensure that the necessary standards of **security** are maintained for all activities within the scope of this Standard and others referenced herein.

Network Rail shall ensure that the requirements are commensurate with relevant legislation and statutory requirements (see section C2) and with the associated risks.

#### C4.2 Requirement for consultation

Network Rail shall have in place an up-to-date change procedure for **security** requirements, which shall include arrangements for consultation and notification of change.

Network Rail shall consult on proposals within the scope of instructions issued by the Department of Transport for new **security** plans and on any proposed changes to existing **security** requirements.

Network Rail shall ensure that the consultation process includes RSSB and those other Railway Group members affected by the changes in question, together with other appropriate parties, for example:

- a) The British Transport Police
- b) The Department for Transport (TRANSEC)
- c) The Department of Trade and Industry
- d) The Home Office
- e) The Ministry of Defence
- f) The Strategic Rail Authority.

## Requirements for Operational Security

### **C4.3 Requirement for review of security requirements**

Network Rail shall review their security arrangements, as listed below, and revise them, as necessary, using the change procedure set out in clause C4.2:

- a) at least every 12 months
- b) immediately after any security incident which suggests a need for a review of existing security arrangements or a need for new security arrangements to be put in place.

### **C4.4 Requirement for a list of cleared contacts to be maintained**

For each Railway Group member, Network Rail shall maintain a list of named contacts with the necessary clearance to receive security information. Network Rail shall also maintain a list of named contacts for each of the organisations listed in clause C4.2.

### **C4.5 Requirement for arbitration**

Where agreement cannot be reached between Railway Group members on safety issues that are within the scope of this Standard, they shall notify the Director responsible for Railway Group Standards at RSSB of the failure to agree, and the reasons for this failure. RSSB shall then notify Network Rail, and the parties involved, that an application for arbitration has been made. In such cases RSSB shall arbitrate between the Railway Group members involved to ensure that an appropriate course of action is followed. Different arrangements exist for arbitration on security issues.

## Requirements for Operational Security

### References

#### Railway Group Standards and other Railway Group Documents

- [GA/RT6001](#) Railway Group Standards Change Procedures
- [GA/RT6004](#) Temporary Non-Compliance with Railway Group Standards
- [GA/RT6006](#) Derogations from Railway Group Standards

The Catalogue of Railway Group Standards and the Railway Group Standards CD-ROM give the current issue number and status of documents published by RSSB.

#### Other References

- Channel Tunnel ([Security](#)) Order, 1994
- National Railways [Security](#) Programme (NRSP), Department for Transport
- Nuclear Industries [Security](#) Regulations, 2003
- Railways (Safety Case) Regulations, 2000, The Stationery Office
- The Railways Act, 1993, The Stationery Office